A Guide For
Compliance Managers

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Well-defined policies and procedures lie at the heart of compliance management. However, it's one thing to set them, and quite another to ensure they are implemented and followed consistently.

To manage compliance activities, many organisations use dated systems and processes such as disparate spreadsheets, emails, and aging software. This approach typically leads to sporadic success at best and creates a large risk to the organisation. In particular, the lack of clear evidence of compliance across a wide range of activities can easily lead to non-compliance incidents.

In this guide we'll take you through the obstacles you may face as a Compliance Manager and provide you with solutions on how we can help. We'll give you all the information and resources you need to get your strategy the best it can be.

Overview

As a Compliance Manager, you will most likely be concerned about adhering to the latest policies pushed by regulatory bodies (governmental or otherwise).

With this in mind, a company intranet can massively help you meet your company needs. With Oak, for example, we've desgined a platform where you can track employee vaccination status across the country after a mandate being pushed by the government, explicitly stating that those that did not align with the new ruling would be fined.

We understand that you care about the practicalities of an intranet. We know that you need detailed information at your fingertips and that you will need to be organised, efficient and reliable.

With Oak Engage, we have one platform to suit all your needs and more. From robust document management to flexible compliance tools we offer everything in one place.

How Oak Engage can help:

- Improve communications and messaging

- Train and educate employees and compliance regulations through our intranet

- Liason between company external third party individuals

- Help conduct assesments to make sure policies remain compliant
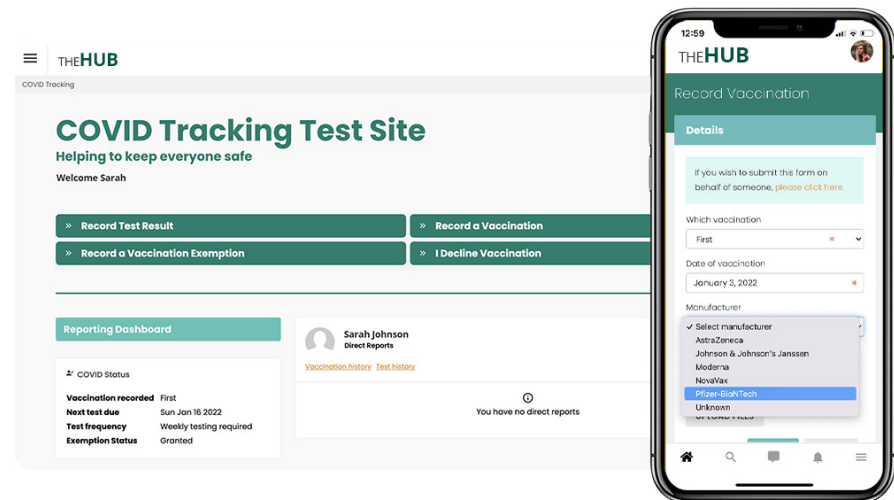
Obstacles

1. Compliance & Regulatory Needs

We understand that businesses sometimes need to show that they are fulfilling certain compliance criteria. In some cases it's a prerequiste to operating full stop (especially finance). In order remain compliant you will need a set of tools which help you to gather and then assess data to which you can then pass on to relevant parties.

2. Mandatory Reads/Notifications

In order to keep businesses like yours compliant and up to date with the latest policies and procedures, communicating important information quite often needs to be explicitly noted as mandatory.

The problem is however, that businesses typically have no way of monitoring whether people have interacted with their comms or have digested the information as intended.

How Can We Help?

1. Seamless Process

With Oak, users can use process to faciltate any sort of regulatory procedure or track data submissions from employees. This way compliance managers can have full visibility on whether regulatory rules are being upheld.

2. Instant Access

Oak can segment data and any type of read importance on a communication. This way you can ensure that the right people are reading the right message in any instance.
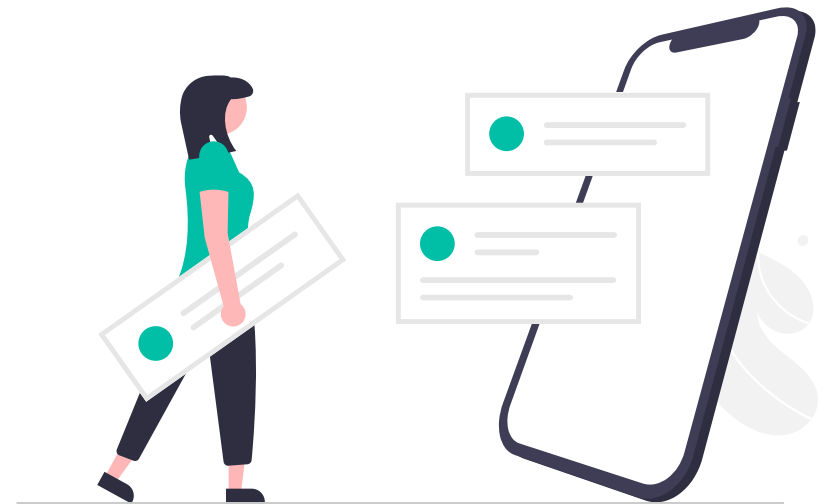
Potential Objections

1. Compliance

We understand that specific compliance issues may require more bespoke solutions to achieve the desired outcome of a particular client. This requires additional development time and a robust capability.

2. Don't have the right tools

Compliance officers are often overwhelmed by the pace of regulatory change, a lack of centralisation when it comes to compliance activities, and the ongoing struggle to break down silos and increase collaboration between departments.

3. High Risk

Being risk averse comes with the territory of being in compliance. You may want assurances that the intranet can help achieve your objectives.

How Do We Overcome?

1. Specific Compliance Issues

We will work alongisde techincal colleagues to identify how Oak can provide a solution thats right for you.

2. One Single Platform

With Oak, everything you need is in one place. Our content and document management gives you access to all the information you need and allows you to integrate with your favourite tools.

3. Risk Averse

We will consult with techincal employees to ensure that we can achieve any assurances that are given. Furthermore, we'll give you a comprehensive overview of deliverables from a variety of perspetives (i.e safety/technical).
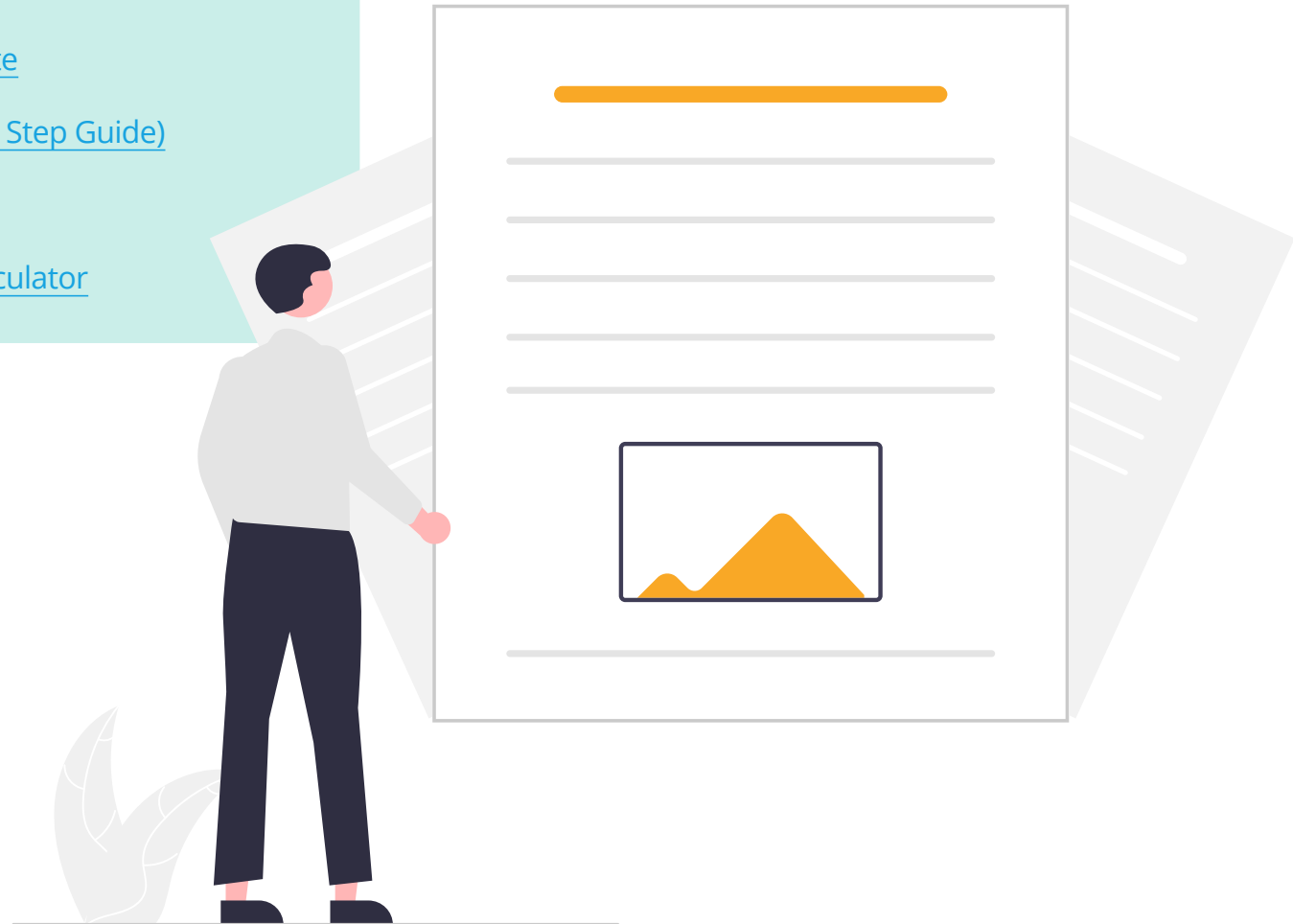
Resources

Interactive Collateral

- Intranet Business Case Template

- Intranet Business Case (Step by Step Guide)

Tools

- Employee Engagement ROI Calculator

About Oak Engage

Oak Engage is the all-in-one intranet software for the workforce of today. We are a cloud based, adaptive intranet that connects your people.

As a leading intranet provider, we work with some of the most successful businesses from around the world to help them increase engagement, improve collaboration and transform internal communications.

If you'd like to find out more about us, or how we can help you improve your people engagement, please get in touch.
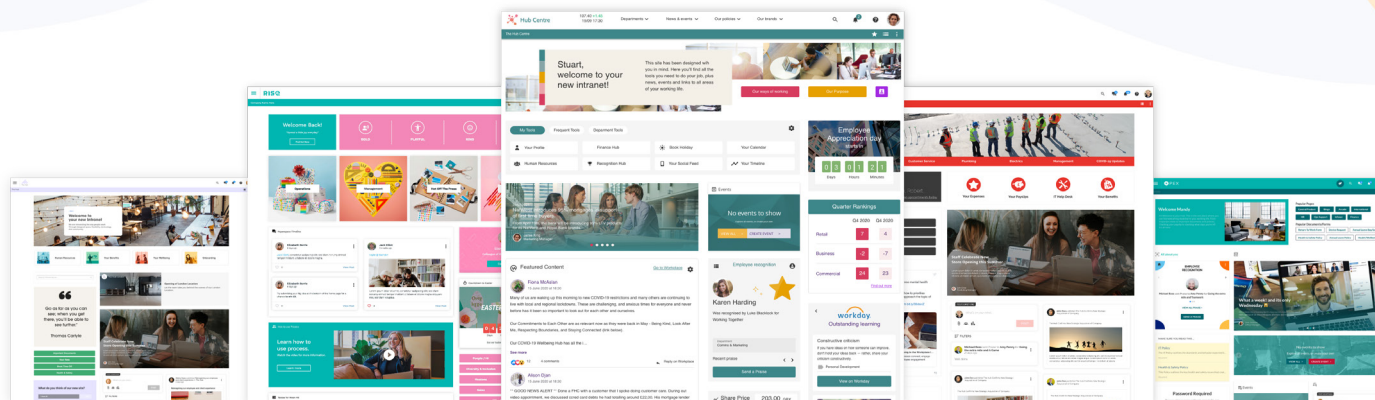
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